

MC525: Cryptography

#12: Zero-Knowledge Proofs

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Zero-Knowledge Proof Systems: Informal Definition

Very informally, a **zero-knowledge proof system** is an interactive protocol between two parties, a **prover** and a **verifier**, in which:

- Both parties have in input a **proposition** (that is true/false).
 - ▶ e.g. a graph G and " G is 3-colorable", or
 $N, r > 0$ and "there is an integer x s.t. $x^2 \bmod N = r$ ".
- ① If the proposition is **true**, then then prover **can prove** to the verifier that the proposition is true (**completeness**)
- ② If the proposition is **false**, then then prover **cannot cheat** the verifier that the proposition is true (**soundness**)
- ③ **without revealing any additional** information beyond the truth of the proposition (**zero-knowledge**)
 - ▶ i.e. verifier alone cannot still prove the proposition

Outline

- 1 **Interactive Proof Systems**
- 2 IPS: Examples
- 3 ZKP
- 4 Commitment Schemes
- 5 ZKP for \mathcal{NP}
- 6 Proofs of Knowledge
- 7 Applications

(Algorithmic) Problems vs. Propositions vs. Languages

Algorithmic problems (decision version)

- $f : X \rightarrow \{T, F\}$
 - ▶ e.g. $f_{GI}(G_1, G_2) = \begin{cases} T & \text{if graphs } G_1 \text{ and } G_2 \text{ are isomorphic} \\ F & \text{otherwise} \end{cases}$
 - ▶ c.f. certificate, witness, proof

Propositions

- input instances of the decision-version of the ATP problem
 - ▶ $f(\text{proposition}) = \begin{cases} T & \text{if provable from ZFC (i.e. true in all models of ZFC)} \\ F & \text{if disprovable from ZFC (i.e. false in all models of ZFC)} \\ ? & \text{if independent from ZFC} \end{cases}$

Languages (history of computation DFA/NFA/PDA/TM..)

- $L = f^{-1}(T)$ encoded with 0/1s (i.e. $L \subseteq \{0, 1\}^*$)
 - ▶ e.g. $L_{GI} = \{(G_1, G_2) \mid \text{graphs } G_1 \text{ and } G_2 \text{ are isomorphic}\}$

(Algorithmic) Problems vs. Languages

Let $f : X \rightarrow \{T, F\}$ be an algorithmic problem where X is infinite.

- 모든 $x \in X$ 의 크기는 유한해야 하므로 X 는 countable set
- 따라서, 임의의 bijection $\phi : X \rightarrow \{0, 1\}^*$ 을 이용하여 f 를 binary encoding 할 수 있다
- $L = \phi(f^{-1}(T)) \subseteq \{0, 1\}^*$ 로 두면

$$x \in L \iff f(\phi^{-1}(x)) = T$$

$$x \notin L \iff f(\phi^{-1}(x)) = F$$
- 임의의 bijection $\phi, \phi' \in X \rightarrow \{0, 1\}^*$ 에 대해 ϕ, ϕ' 가 poly-time computable이면 $\phi(x) \mapsto \phi'(x)$, $\phi'(x) \mapsto \phi(x)$ mapping도
- 즉, 어떤 encoding을 사용해도 계산복잡도 측면에서 무관 (i.e. $L = \phi(f^{-1}(T))$ 와 $L' = \phi'(f^{-1}(T))$ 는 isomorphic)
- $L \in 2^{\{0,1\}^*}$ 므로 $f \in 2^{\{0,1\}^*}$ 로 취급할 수 있고, problem과 (isomorphic) language간은 섞어서 사용

Interactive Turing Machines

Definition (Interactive Turing Machine (ITM))

$M(x, m)$ 형태의 TM (보조입력 z 가 추가될 수도 있음)

- x 는 아래에서 common input, m 은 상대 TM의 output
- **Internal state variable**도 가질 수 있음 (즉, pure function 아님)
- may be **randomized** (with random number generator for coin-toss)

Definition (Interactive Computation of two ITMs)

Given two ITMs P, V and common input x , the result of the **interactive computation**, written $\langle P, V \rangle(x)$, is the return value of

$m_v := \epsilon$

while $m_v \notin \{"T", "F"\}$ # "accept"/"reject"

$m_p := P(x, m_v)$

$m_v := V(x, m_p)$

return m_v

If P, V are randomized, then $\langle P, V \rangle(x)$ is a **random variable**

Interactive Proof Systems

Fix a language $L \in 2^{\{0,1\}^*}$

Definition (Interactive Proof System)

An **interactive proof system** for L is a pair of two ITMs (P, V) s.t.

- $\forall x \in L, \Pr [\langle P, V \rangle(x) = \text{"T"}] = 1$ (**completeness**)
 - ▶ P, V 가 randomized인 것을 허용하여서 확률적으로 정의
 - ▶ ... = 1을 ... = $1 - \epsilon(|x|)$ 로 relax할 수도 있음
- $\forall P^* \forall x \notin L, \Pr [\langle P^*, V \rangle(x) = \text{"F"}] \geq 1 - \epsilon(|x|)$ (**soundness**)
 - ▶ where $0 \leq \epsilon(|x|) < 1/p(|x|)$ for every polynomial function $p(\cdot)$
 - ▶ 위와 달리 **모든** 가능한 (prover) P^* 에 대해 성립해야 함
 - ▶ (Fake prover도 $\epsilon(|x|)$ 만큼은 속일 수 있어서) $1 - \epsilon(|x|)$ 로
- V is a (probabilistic) **polynomial-time** TM
 - ▶ whereas no time-bound placed on P (may be exp-time TM)

Such P is called a **prover**, and V a **verifier**

Interactive Proof \wedge Zero-Knowledge = Zero-Knowledge Proof

Interactive Proof Systems: Trivial Cases (1/2)

Every language $L \in \mathcal{P}$ has an interactive proof system

- Let \mathcal{A}_L be any poly-t. algorithm for (problem \equiv language) L
- Let $V(x, m) = \mathcal{A}_L(x)$ (just ignore m)
- Then, for any ITM P , (P, V) is an interactive proof system
 - ▶ completeness, soundness, deterministic poly-time

In the same way, every language in \mathcal{BPP} also has an interactive proof system

Interactive Proof Systems: Trivial Cases (2/2)

Every language in $L \in \mathcal{NP}$ has an interactive proof

- Let C_L be any poly-time **certifier** for L
 - ▶ i.e. for each $x \in L$, there is (certifier/proof) y s.t. $C_L(x, y) = T$
 - ▶ i.e. for each $x \in L$, there is no y s.t. $C_L(x, y) = T$
- Let $P(x, m) = \begin{cases} (\text{proof}) y \text{ s.t. } C_L(x, y) = T & \text{if } x \in L \\ \text{None} & \text{otherwise} \end{cases}$
 - ▶ recall: **prover** P 는 exp-time에 수행되는 것이 허용됨
- Let $V(x, m) = C_L(x, m)$ (i.e. just check if indeed a proof!)
- Then, (P, V) is an interactive proof system
 - ▶ complete: $x \in L$ 면 $V(x, P(x, \cdot)) = C_L(x, \text{proof of } x) = T$
 - ▶ sound: $x \notin L$ 면 $V(x, P^*(x, \cdot)) = F$ for all P^* (x has no proof)
 - ▶ poly-time verifier: $V = C_L$ is a poly-time TM

The above (P, V) is not a zero-knowledge proof system (stay tuned)

The Class \mathcal{IP}

Definition (\mathcal{IP})

- $\mathcal{IP} \triangleq \{L \in 2^{\{0,1\}^*} \mid L \text{ has an interactive proof system}\}$
 - ▶ not the languages with zero-knowledge proof systems

- $\mathcal{BPP} \cup \mathcal{NP} \subseteq \mathcal{IP}$
 - ▶ Remind: it is not known whether or not $\mathcal{BPP} \subseteq \mathcal{NP}$

Theorem (Shamir, 1992)

$$\mathcal{IP} = \mathcal{PSPACE}$$

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Recall: Isomorphism of Graphs

Definition (Graph isomorphism)

Two undirected graphs $G_1 = (V_1, E_1)$ and $G_2 = (V_2, E_2)$ are said to be **isomorphic**, written $G_1 \approx G_2$, if

- \exists bijection $\pi : V_1 \rightarrow V_2$ s.t. $(a, b) \in E_1 \Leftrightarrow (\pi(a), \pi(b)) \in E_2$
 - ▶ such π is called an isomorphism

- Given a graph $G = (V, E)$ and a bijection $\pi : V \rightarrow V'$, $\pi(G)$ represents a graph $G' = (V', \{(\pi(u), \pi(v)) \mid (u, v) \in E\})$

Computational complexity on graph isomorphism:

- GRAPH-ISOMORPHISM $\in \mathcal{NP}$
 - GRAPH-NON-ISOMORPHISM $\in \text{co-}\mathcal{NP}$
 - not known: GRAPH-ISOMORPHISM $\in \mathcal{NP}$ -hard or not
 - not known: GRAPH-ISOMORPHISM $\in \text{co-}\mathcal{NP}$
- GRAPH-NON-ISOMORPHISM $\in \mathcal{NP}$

An Interactive Proof Systems for GNI (1/4)

Example

- Peggy knows an **experimental procedure** to distinguish between Korean/imported beef. (e.g. DNA test)
- Peggy wishes to **prove** to Victor that she **knows** the experimental procedure
 - ▶ so that she sells the technology to Victor at a high rate.
- But Peggy wants **not to reveal** any information about the experimental procedure
 - ▶ apart from the fact that she knows it.
- A **zero-knowledge proof** for Peggy: **blind test** (> 100 times)

An Interactive Proof Systems for GNI (2/4)

The Graph Non-Isomorphism (GNI) Problem

$$L_{GNI} = \{(G_1, G_2) \mid G_1 \text{ and } G_2 \text{ are not isomorphic}\}$$

Prover $P(x, m)$

```

P(x, m)
  (G1, G2) := x    # decode
  H := m             # π(Gi)

# graph isomorphism은
# exp-time에 계산가능
if (H ≈ G1)
  return 1
else
  return 2
  
```

Verifier $V(x, m)$

```

round := 0    # internal state variable
i := None    # internal state variable

V(x, m)
  (G1(V1, E1), G2(V2, E2)) := x
  if m ≠ ε    # ≥ second round
    j := m    # decode
    if (i ≠ j)
      return F    # 바로 reject
    elif (round = |V1|)
      return T    # accept
    i := random({1, 2})
    π := random({bijec. ∈ V1 → V2})
    round := round + 1
  return π(Gi)
  
```

from the viewpoint of
interactive computation?

An Interactive Proof Systems for GNI (3/4)

From the Viewpoint of Interactive Computation

- Common input: undirected graphs $G_1 = ([n], E_1)$, $G_2 = ([n], E_2)$
- Repeat the following steps n times:
 - ① Verifier chooses a random $i \in \{1, 2\}$ and a random permutation $\pi \in \mathcal{S}_n$, and sends $H = \pi(G_i)$ to prover
 - ② Prover computes $j \in \{1, 2\}$ s.t. $G_j \approx H$, and sends j to verifier
 - ③ Verifier checks to see if $i = j$
- Verifier accepts prover's proof if $i = j$ in each of the n rounds.

Indeed an interactive proof system?

- completeness: $\langle P, V \rangle(G_1, G_2) = \mathbf{T}$ for all $G_1 \not\approx G_2$?
- soundness: $\forall P^*$, $\Pr [\langle P^*, V \rangle(G_1, G_2) = \mathbf{F}] = 1 - \epsilon(|x|)$
for all $G_1 \approx G_2$?
- poly-time verifier: obvious
 - ▶ prover의 time-bound은 전혀 제한하지 않았음을 상기

An Interactive Proof Systems for GNI (4/4)

Completeness: $\forall G_1 \not\approx G_2, \langle P, V \rangle(G_1, G_2) = \mathbf{T}$

- Exactly one of G_1, G_2 is isomorphic to $H = \pi(G_i)$, and the other not is not isomorphic to H
- Prove can find G_j that is isomorphic to H (in exp-time), and send to verifier the **right answer** (s.t. $j = i$)

Soundness: $\forall P^*, \forall G_1 \approx G_2, \Pr [\langle P^*, V \rangle(G_1, G_2) = \mathbf{F}] = 1 - \epsilon(|x|)$

- Let π^* be an isomorphism s.t. $\pi^*(G_1) = G_2$, and π be a random permutation selected by verifier
- The probability distributions of π and $\pi \circ \pi^*$ are the same
- The **pdf** of $\pi(G_1)$ and $\pi(G_2) = (\pi \circ \pi^*)(G_2)$ are the **same**
- Thus, no prover can do better than make a guess $j = 1$ or 2 , and so the probability of guessing all n choice $\leq 2^{-n}$

Poly-time verifier: obvious

An Interactive Proof Systems for GI (1/2)

The Graph Isomorphism (GI) Problem

$$L_{GI} = \{(G_1, G_2) \mid G_1 \text{ and } G_2 \text{ are isomorphic}\}$$

From the Viewpoint of Interactive Computation

- Common input: undirected graphs $G_1 = ([n], E_1)$, $G_2 = ([n], E_2)$
- Additional input to prover: isomorphism π^* s.t. $\pi^*(G_2) = G_1$
 - ▶ prover will convince verifier **existence** of π^* (**w/o revealing** π^*)
- Repeat the following steps n times:
 - ① Prover chooses a random permutation $\pi \in \mathcal{S}_n$, and sends $H = \pi(G_1)$ to prover
 - ② Verifier sends a random $i \in \{1, 2\}$ to prover
 - ③ Prover sends to verifier $\sigma = \begin{cases} \pi & \text{if } i = 1 \\ \pi \circ \pi^* & \text{if } i = 2 \end{cases}$
 - ④ Verifier checks if $\sigma(G_i) = H$
- Verifier accepts if $\sigma(G_i) = H$ in each of the n rounds.

An Interactive Proof Systems for GI (2/2)

Completeness: $\forall G_1 \approx G_2, \langle P, V \rangle(G_1, G_2) = \mathbf{T}$

- ① If $i = 1$, then $\sigma(G_i) = \pi(G_1) = H$
- ② If $i = 2$, then $\sigma(G_i) = \pi(\pi^*(G_2)) = \pi(G_1) = H$
 - ▶ 참고: π 의 역할은 π^* 를 uniform 확률분포속에 숨겨주기

Soundness: $\forall P^*, \forall G_1 \not\approx G_2, \Pr[\langle P^*, V \rangle(G_1, G_2) = \mathbf{F}] = 1 - \epsilon(|x|)$
 (What happens if an (invalid) prover P^* tries to cheat verifier?)

- ① No prover P^* can send H that is isomorphic to both G_1, G_2
- ② The probability that verifier picks i s.t. $G_i \not\approx H$ is $\geq 2^{-1}$
- ③ If $G_i \not\approx H$, then there is **no** $\sigma \in \mathcal{S}_n$ s.t. $\sigma(G_i) = H$. Thus, P^* can cheat verifier with probability $\leq 2^{-1}$
- ④ The probability that P^* can cheat verifier n rounds $\leq 2^{-n}$

IPS for GNI와 달리 **prover**도 **poly-time** algorithm임에 주목

Zero-Knowledge?

Does the interactive proof systems (IPSs) for GNI/GI reveal any “**knowledge**” about the proofs **beyond** mere **existence**?

IPS for GNI:

- Verifier가 prover로부터 얻을 수 있는 “knowledge”는 이미 verifier 자신이 알고 있는 것이 전부
- Non-isomorphism의 proof에 대한 어떤 정보도 얻을 수 없음

IPS for GI:

- All that verifier sees is a random isomorphic copy H of G_1, G_2 and a permutation σ s.t. $\sigma(G_1) = H$ or $\sigma(G_2) = H$
- Verifier가 이 정보로부터 isomorphism π^* 에 대한 조금의 “knowledge”를 얻을 수 있을까?

이제 **zero-knowledge**를 엄밀하게 정의해보자!

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Perfect Zero-Knowledge Proof Systems

Informally, an interactive proof system (P, V) for a language L is said to be **zero-knowledge** if

- **whatever** can be obtained **from P** (in poly-time) on $x \in L$ can also be computed by **V alone** (in poly-time)

p.p.t = probabilistic polynomial-time

Definition (Perfect Zero-Knowledge)

Let (P, V) be an IPS for some language L . We say that (P, V) , or actually P , is **perfect zero-knowledge** if

- \forall p.p.t. ITM V^* , \exists p.p.t. TM M^* , $\forall x \in L$,
random variable $\langle P, V^* \rangle(x)$ and $M^*(x)$ are **equally distributed**

Such M^* is called a (**perfect**) **simulator** for (P, V^*)

주의: **cheating verifier**를 고려하려고 V 대신에 $\forall V^*$ 로 정의

Simulator for IPS for GI

The following sequence of data, called **transcript**, fully captures **verifier's view** of **interactive computation**:

- $T = ((G_1, G_2), (H_1, i_1, \sigma_1), (H_2, i_2, \sigma_2), \dots, (H_n, i_n, \sigma_n))$

Any (fake) verifier V^* can simulate transcripts by itself!

```

T := (G1, G2)
for (j := 1 to n)
  Choose ij ∈ {1, 2} at random
  Choose σj ∈ Sn at random
  Compute Hj ∈ σj(Gij) at random
  T := append(T, (Hj, ij, σj))
  
```

Informally speaking,

- 이런 방식으로 흉내낸 transcript의 마지막 계산 값을 $M^*(G_1, G_2)$ 로 simulator를 정의해버리면
- 랜덤변수 $\langle P, V \rangle(G_1, G_2)$ 와 $M^*(G_1, G_2)$ 의 확률분포는 동일!

Computational Zero-Knowledge Proof Systems

- PKZ의 정의는 지나치게 강하다고 볼 수도 있음
- 조건을 약화시키면 더욱 많은 language에 대해 더욱 효율적인 ZKP를 구성할 수 있음
- 랜덤변수 $\langle P, V^* \rangle(x)$ 와 $M^*(x)$ 의 확률분포가 똑같은 필요까지는 없고, **computationally indistinguishable**이면 충분

Definition (Computational Zero-Knowledge)

Let (P, V) be an IPS for some language L . We say that (P, V) , or actually P , is **computational** zero-knowledge if

- \forall p.p.t. ITM V^* , \exists p.p.t. TM M^* ,
the following ensembles are **computationally indistinguishable**:

$$\{\langle P, V^* \rangle(x)\}_{x \in L} \text{ and } \{M^*(x)\}_{x \in L}$$

Summary: Cheating Relations

Zero-knowledge proof의 정의의 어떤 요소에서 **cheating**이 prevent되나?

- Completeness: 딱히 cheater가 존재하지 않음
- **Soundness**: prover가 cheater가 될 수 있음. prover가 $x \notin L$ 의 proof가 존재한다고 속이는 것을 막기 위한 정의를 위해 all potential (fake) “prover” P^* 로 정의
- **Zero-knowledge**: verifier가 cheater가 될 수 있음. verifier가 $x \in L$ 에 대한 prover의 (zero-knowledge) proof에서 정보를 crack하는 것을 막기 위한 정의를 위해 all potential (fake) “verifier” V^* 로 정의

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Recall: Secure Coin flipping

Alice and Bob

- talk about going for dinner over **telephone** and
- want to decide **who will pay** for it.

The event must happen in the following order:

- 1 Alice **flips** a coin.
- 2 The coin lands. Alice notify Bob.
- 3 Bob informs Alice of his **guess** (head/tail).
- 4 Alice tells Bob whether the guess is **right or not**.

An analog protocol to prevent Alice from cheating Bob

- Step 2: Alice (1) take a photo of the coin landed, (2) put the photo in a safe, (3) locks the safe, (4) send the safe to Bob.
- Step 4: Alice send the key to unlock the safe.

Recall: Coin flipping using a public-key cryptosystem

An analog protocol to prevent Alice from cheating Bob

- Step 2: Alice (1) take a photo of the coin landed, (2) put the photo in a safe, (3) locks the safe, (4) send the safe to Bob.
- Step 4: Alice send the key to unlock the safe.

A digital protocol

- photo \Rightarrow 0 or 1 (with random garbage padded)
- safe \Rightarrow any one-way trapdoor function (encryption func)
- key of the safe \Rightarrow trapdoor info (secret key)

- Alice **randomly** chooses 0 or 1.
 - coin flipping not needed!
- Alice sends its **encryption** (and the encryption function.)
- Bob informs Alice of his **guess** (0 or 1).
- Alice sends Bob the secret key to invert the encryption func.

Commitment Schemes: Informal Definition

Very informally, a **commitment scheme** is a **two-phase** interactive protocol bet'n two parties, a **sender** and a **receiver**, in which:

The first phase (**commit phase**):

- ① Sender picks a random key k
- ② Sender computes an encryption $y = e_k(m)$ of a msg m
- ③ Sender sends y (a "**commitment**" to m) to receiver

The second phase (**reveal phase**):

- ① Sender sends the key k (along with $d_k(\cdot)$) to receiver
- ② Receiver **opens** the "commitment" y to find out m

A commitment scheme must satisfy two **security requirements**:

- **Hiding**: no p.p.t. receiver can cheat (no info. about m from y)
- **Binding**: no p.p.t sender can cheat (no k' s.t. $e_{k'}(m') = e_k(m)$)

Commitment Schemes: Informal Definition

A commitment scheme must satisfy two **security requirements**:

- **Hiding**: no p.p.t. receiver can cheat
 - ▶ no information about m can be computed from y in poly-time
- **Binding**: no p.p.t sender can cheat
 - ▶ no k', m' s.t. $e_{k'}(m') = e_k(m)$ can be computed in poly-time
 - ▶ i.e.

p.p.t. sender/receiver로 제한한 이유: exp-time을 허용하면 두 조건 모두 깨지므로

- Public-key encryption function의 존재성(현재로서는 $P \neq NP$ 보다 강한 명제)을 가정한다면 위 조건들을 모두 만족하는 commitment scheme을 쉽게 만들수 있음
- $m \in \{0, 1\}$ 인 경우만 고려해도 충분 (bit를 이어붙이면 됨)

Commitment Schemes

Definition (Commitment Scheme (somewhat simplified))

A p.p.t. TM C is called a **commitment scheme** if there exists some polynomial $p(\cdot)$ s.t.

- hiding: $\forall n \in \mathbb{N}, \forall v_0, v_1 \in \{0, 1\}^n,$

the following ensembles are **computationally indistinguishable**:

$$\{C(v_0, r)\}_{r \in \{0,1\}^{p(n)}} \text{ and } \{C(v_1, r)\}_{r \in \{0,1\}^{p(n)}}$$

- binding: $\forall n \in \mathbb{N}, \forall v_0, v_1 \in \{0, 1\}^n, \forall r_0, r_1 \in \{0, 1\}^{p(n)},$
 $C(v_0, r_0) \neq C(v_1, r_1)$

Theorem

If *one-way permutations* exist, then *commitment schemes* exist

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Zero-Knowledge Proof System for 3-Colorability (1/2)

The Graph 3-Colorability (G3C) Problem $\in \mathcal{NP}$

$$L_{G3C} = \{G = (V, E) \mid \exists \phi: V \rightarrow [3], \forall (u, v) \in E, \phi(u) \neq \phi(v)\}$$

From the Viewpoint of Interactive Computation

- Common input: undirected graph $G = (V, E)$
- Additional input to prover: valid 3-coloring $\phi: V \rightarrow [3]$ of G
 - ▶ prover will convince verifier **existence** of ϕ (**w/o revealing** ϕ)
- Repeat the following steps $|V||E|$ times:
 - ① Prover chooses a random permutation $\pi \in \mathcal{S}_3$, and sends $(C_v(\pi(\phi(v))))_{v \in V}$ to sender (each C_v is a **commitment**)
 - ② Verifier sends a random $(u, v) \in E$ to prover
 - ③ Prover sends keys to open commitments $C_u(\cdot)$ and $C_v(\cdot)$
 - ④ Verifier opens commitments $a_u = \pi(\phi(u))$, $a_v = \pi(\phi(v))$
 - ⑤ Verifier checks if $a_u \neq a_v$
- Verifier accepts if $a_u \neq a_v$ in each of $|V||E|$ rounds

Zero-Knowledge Proof System for 3-Colorability (2/2)

Completeness: $\forall G \in L_{G3C}, \langle P, V \rangle(G) = \mathbf{T}$

- For any $(u, v) \in E$, $\pi(\phi(u)) \neq \pi(\phi(v))$ (since $\phi(u) \neq \phi(v)$)

Soundness: $\forall P^*, \forall G \notin L_{G3C}, \Pr[\langle P^*, V \rangle(G) = \mathbf{F}] = 1 - \epsilon(|X|)$

- For each $\phi^*: V \rightarrow [3]$, there is $(u, v) \in E$ s.t. $\phi^*(u) = \phi^*(v)$
- By the binding property of the commitment scheme, a cheating prover is caught with probability $\geq 1/|E|$
- The probability that a cheating prover successfully cheats in all $|V||E|$ rounds is $\leq (1 - 1/|E|)^{|V||E|} \leq e^{-|V|}$

(Computational) Zero-knowledge: (see next slide for more..)

- The hiding property of the commitment scheme guarantees that, in each iteration, everything except 2 random colors is hidden

Simulator for ZKP for 3-Colorability

Any (fake) verifier V^* can simulate transcripts by itself!

- 1 Choose $(u', v') \in E$ at random
- 2 Choose $a'_u, a'_v \in [3]$ s.t. $a'_u \neq a'_v$ at random
- 3 Let $a'_w = 1$ for all $w \in V \setminus \{u', v'\}$
- 4 Commit to a'_u for each $u \in V$ and feed the commitments to V^* (just as honest prover)
 - ▶ while also providing it truly random bits as its random coins
- 5 Let (u, v) denote the answer from V^*
- 6 If $(u, v) = (u', v')$, then reveal the two colors, and output the view of V^*
- 7 Otherwise, restart the process from the first step, but at most $|V||E|$ times.
- 8 If, after $|V||E|$ repetitions the simulation has not been successful, output F

(Computational) ZKP for \mathcal{NP}

Theorem

If one-way permutations exist,
then every $L \in \mathcal{NP}$ has a (computational) ZKP.

- Fix a language $L \in \mathcal{NP}$. Note that $L_{G3C} \in \mathcal{NPC}$
- By Cook-Levin theorem, there is a deterministic poly-time algorithm (i.e. reduction) $R : \{0, 1\}^* \rightarrow \{0, 1\}^*$ s.t.

$$x \in L \iff R(x) \in L_{G3C}$$
- Furthermore, for each $x \in L$ and its certificate z , reduction R also implicitly computes the certificate z' of $R(x) \in L_{G3C}$
 - certificate**도 계산하도록 augmented된 reduction을 R^c 로 두자
- L 의 ZKP를 L_{G3C} 의 ZKP를 이용하여 구성하면 된다:

$z :=$ certificate of $X \in L$

$P_L(x, m)$

$(G, z') := R^w(x, z)$ # reduction

$P_{L_{G3C}}(G, m, z')$

$V(x, m)$

$G := R(x)$

reduction

$V_{L_{G3C}}(G, m)$

Remark

- 임의의 $L \in \mathcal{NP}$ 에 대한 ZKP를 구성하기 위해 하필 **G3C**를 이용한 이유는 이것이 **NP-complete**이기 때문
 - GI처럼 NP-easy만 증명된 language의 경우 $L \in \mathcal{NP}$ 에서 L_{GI} 로의 poly-time reduction이 존재함이 보장되지 않음
- $L \in \mathcal{NP}$ 에 대한 “practical” ZKP를 구성하려고 할 경우에는 G3C로 reduction시켜서 만든 generic ZKP를 사용하면 곤란
 - poly-time reduction $L \mapsto \text{SAT} \mapsto \text{G3C}$ 과정에서 instance/certificate의 크기가 매우 커지므로
- G3C에 대한 ZKP는 perfect는 아니고 computational ZK만 보장되므로 $L \in \mathcal{NP}$ 에 대한 computational ZKP의 존재성까지만 보장됨

Complexity Issues

$$BPP \subseteq \mathcal{PZK} \subseteq \mathcal{CZK} \subseteq IP = \mathcal{PSPACE}$$

- \mathcal{PZK} : the set of languages with perfect ZKP
- \mathcal{CZK} : the set of languages with computational ZKP
- If one-way functions exists, then $\mathcal{CZK} = IP (= \mathcal{PSPACE})$
- It is widely believed that $BPP \subsetneq \mathcal{PZK} \subsetneq \mathcal{CZK}$

Poly-time prover

- Theorem: Every $L \in \mathcal{NP}$ has a (computational) ZKP where prover can be implemented in poly-time given a certificate
- G3C, GI가 (certificate가 주어진 상황에서) poly-time prover 를 가짐을 상기
 - ▶ \mathcal{NP} 에 속하는지 여부가 밝혀지지 않은 GNI는 exp-time prover를 소개했었음
- Prover가 poly-time에 작동하는 것은 identification scheme, multi-party secure computation 등의 application에서 필요

Outline

- 1 Interactive Proof Systems
- 2 IPS: Examples
- 3 ZKP
- 4 Commitment Schemes
- 5 ZKP for \mathcal{NP}
- 6 Proofs of Knowledge**
- 7 Applications

Zero-Knowledge Proofs of Knowledge

- Let $L \in \mathcal{NP}$, and C_L be a poly-time certifier for L .
- z is a **proof** of (the proposition) " $x \in L$ " if $C_L(x, z) = \mathbb{T}$
- Zero-knowledge proof of (the proposition) " $x \in L$ ": proof of the "mere" **existence** of such z (w/o revealing any info about z)
 - ▶ prover는 z 의 존재성만 보이면 되므로 z 의 **실체는 몰라도 됨**
- Zero-knowledge **proof of knowledge** of " $x \in L$ ": proof of actually **knowing** such z (w/o revealing any info about z)
 - ▶ prover는 z 의 존재성을 넘어서서 z 의 **실체를 알아야 함**
 - ▶ c.f. **non-constructive** proof vs. **constructive** proof
- Zero-knowledge **proof of knowledge**는 ZKP보다 **강한** 정의로 어떤 application에서는 이것이 필요한 경우가 있다

Proofs of Knowledge

For simplicity, we consider only $L \in \mathcal{NP}$ (with poly-time certifier C_L)

Definition (Proof of Knowledge)

A ZKP (P, V) for L is called a **proof of knowledge** with knowledge error bound ϵ and extractor slowdown es if

- there is TM K (called **knowledge extractor**) s.t. $\forall P^*, \forall x \in L$,

$$\Pr [\langle P^*, V \rangle(x) = \text{"T"}] \geq \epsilon + \delta \implies$$

$K(P^*, x)$ computes z s.t. $C_L(x, z) = \text{T}$
in average time of $\leq es \cdot |x|^{O(1)} \cdot \delta^{-1}$

- ZKP for GI is a knowledge of proof with knowledge error $1/2$
- ZKP for G3C is a knowledge of proof with k. error $1 - 1/|E|$
- 여러번 돌려서 knowledge error를 exponentially 줄일 수 있음

Theorem

Any $L \in \mathcal{NP}$ has a ZKP for proofs of knowledge (assuming OWF..)

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Identification Scheme

- 사용자(prover)가 서버(verifier)로의 접속을 위해 신원을 확인(identification)시키는 절차
 - ▶ 사용자의 passwd를 암호화해서 보내면 될 것 같은데..
- RSA와 같은 public-key encryption scheme에 기반한 digital signature를 사용하면 어떤 방식의 cracking이 가능?
 - ▶ 암호화된 passwd를 그대로 Eve가 가로채서 사용자 행세..
 - ▶ 또는, 서버에 passwd 관련된 정보가 남은 상태에서, Eve가 서버를 툰다면..
 - ▶ 알고보니 서버 관리자가 Eve라면..
- 사용자가 $L \in \mathcal{NPC}$ 와 certificate(proof) z 를 구성할 수 있는 $x \in L$ 를 선택하여 z 를 암호로 삼고, 서버에 (L, x) 를 넘겨주고 서버와의 ZKP of proof of knowledge를 돌리면 서버에는 암호 z 에 대한 어떤 정보도 남지 않음!
 - ▶ 임의의 x 에 대한 z 는 구성하기 힘들지만, (x, z) 를 한번에 구성할 수 있는 방법은 많음

Recall: Secure Multi-Party Computation for Dating for Shy People

- encryption: $f(x) = x^e \bmod n$ / dec.: $f^{-1}(y) = y^d \bmod n$

Dating protocol based on RSA (for avoiding Alice's shame)

- 1 Alice creates e, d, n and publicize the public key (i.e. $f(x)$).
- 2 Alice sends Bob $(f(x), f(y))$ where x, y are:
 - ▶ $x = \text{"0"} + \text{random}$, $y = \text{"0"} + \text{random}$ if not interested in Bob
 - ▶ $x = \text{"0"} + \text{random}$, $y = \text{"1"} + \text{random}$ if interested in Bob
 - ▶ Both $f(x)$ and $f(y)$ look completely random to Bob.
- 3 Bob picks a random $r \in \mathbb{Z}_n$. Then, he sends to Alice z :
 - ▶ $z = f(x) \cdot f(r) \bmod n = f(xr)$ if not interested in Alice
 - ▶ $z = f(y) \cdot f(r) \bmod n = f(yr)$ if interested in Alice
- 4 Alice computes/sends $f^{-1}(z) = xr$ or $yr \bmod n$ back to Bob.
 - ▶ Either way, $f^{-1}(z)$ looks completely random (by r) to Alice
- 5 Bob computes $w = f^{-1}(z) \cdot r^{-1} \bmod n = x$ or y .
 - ▶ Bob not interested: $w = x$ (Alice's interest not revealed)
 - ▶ Bob interested: $w = y$ (Alice's interest revealed)

Multi-Party Secure Computation with ZKP

- Alice/Bob이 앞의 protocol을 충실히 따른다고 가정할 때 원하는 함수를 정확히, 정보유출없이 계산할 수 있음
 - $f : \{ \text{"interested"}, \text{"uninterested"} \}^2 \rightarrow \{ \text{"date"}, \text{"rupture"} \};$
 $f(x_1, x_2) = \text{"date"} \text{ iff } x_1 = x_2 = \text{"interested"}$
- 이런 상황을 “**honest but curious**” player만 참가한다고 하는데, “**malicious**” player가 참가할 경우에는 위 함수가 제대로 계산되지 않음 (즉, cheating이 가능할 수도 있음)
- Malicious cheating을 막기 위해서는 앞 페이지의 protocol의 각 step마다 다음 명제에 대한 **ZKP**를 함께 보내면 됨:

“내가 보내는 msg가 protocol상에 정의된 것과 같음”

 - 적절한 $L \in \mathcal{NP}$ 가 존재하여 위 명제를 $x \in L$ 로 표현가능
 - $L \in \mathcal{NP}$ 에 대한 (computational) ZKP는 항상 존재!